

11.4. **Orthogonal algebra $\mathfrak{so}(2n+1, F)$.** The odd dimensional *orthogonal algebra* $\mathfrak{so}(2n+1, F)$ is the Lie algebra given by the nondegenerate symmetric bilinear form corresponding to the matrix

$$S = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & I_n \\ 0 & I_n & 0 \end{bmatrix}$$

Thus $\mathfrak{so}(2n+1, F)$ is the set of all $2n+1 \times 2n+1$ matrices x so that $x^t S + Sx = 0$. In other words

$$x = \begin{bmatrix} 0 & c^t & -b^t \\ b & m & p \\ -c & q & -m^t \end{bmatrix}$$

where $p = -p^t$ and $q = -q^t$. We number the rows and columns $0, 1, 2, \dots, 2n$.

The Cartan subalgebra H is the set of diagonal matrices and H^* is generated by the n functions ϵ_i where $\epsilon_i(h) = h_i$ is the i th diagonal entry of h . As in the case of $\mathfrak{so}(2n, F)$, the off-diagonal entries of the matrix m are coefficients of the matrix x_{ij} and

$$[h, x_{ij}] = (h_i - h_j)x_{ij} = \alpha(h)x_{ij}$$

where $\alpha = \epsilon_i - \epsilon_j$. So, x_{ij} is in L_α and $x_{ji} \in L_{-\alpha}$ and $[x_{ij}, x_{ji}] = h_\alpha$ has $\alpha(h_\alpha) = 2$.

We also have basic matrices $y_{ij}, 1 \leq i < j \leq n$ for p and z_{ij} for q so that

$$[h, y_{ij}] = (h_i + h_j)y_{ij} = \beta(h)y_{ij}$$

where $\beta = \epsilon_i + \epsilon_j$ and

$$[h, z_{ij}] = -\beta(h)z_{ij}$$

Also, $[y_{ij}, z_{ij}] = h_\beta$ where $\beta(h_\beta) = 2$.

What is new is the basic matrices b_i, c_i for b, c with

$$[h, b_i] = h_i b_i = \gamma(h)b_i$$

where $\gamma = \epsilon_i$ and

$$[h, c_i] = -h_i c_i = -\gamma(h)c_i$$

But $h_\gamma = 2[b_i, c_i]$ since

$$\gamma([b_i, c_i]) = 1$$

The root system consists of $\pm\epsilon_i \pm \epsilon_j$ and $\pm\epsilon_i$. The basic roots are given by

$$\epsilon_1 - \epsilon_2, \dots, \epsilon_{n-1} - \epsilon_n, \epsilon_n$$

To see that this forms a base for the root system, note that adding consecutive elements gives any $\epsilon_i - \epsilon_j$ and adding the terms from $\epsilon_j - \epsilon_{j+1}$ to ϵ_n gives ϵ_j . And $\epsilon_i - \epsilon_j + 2\epsilon_j = \epsilon_i + \epsilon_j$. So, this is a base. Since ϵ_n is a short root, the Dynkin diagram is:

$$\epsilon_1 - \epsilon_2 \quad \circ \text{---} \circ \text{---} \circ \text{---} \dots \text{---} \circ \text{---} \circ \text{---} \circ \text{---} \epsilon_n$$

and this is a root system of type B_n .

Exercise 11.4.1. Show that $\mathfrak{sl}(n+1, F)$ is a semisimple Lie algebra with root system A_n :

$$\epsilon_1 - \epsilon_2 \quad \circ \text{---} \circ \text{---} \circ \text{---} \dots \text{---} \circ \text{---} \circ \quad \epsilon_n - \epsilon_{n+1}$$

12. EXCEPTIONAL LIE ALGEBRAS AND AUTOMORPHISMS

We have constructed four infinite families of semisimple algebras:

- (1) $\mathfrak{sl}(n + 1, F)$ has type A_n
- (2) $\mathfrak{so}(2n, F)$ has type D_n
- (3) $\mathfrak{so}(2n + 1, F)$ has type B_n
- (4) $sp(2n, F)$ has type C_n .

Theorem 12.0.2. *If L is a simple Lie algebra over an algebraically closed field of characteristic zero then L either belongs to one of the four infinite families listed above or it is isomorphic to one of the five exceptional Lie algebras of type E_6, E_7, E_8, F_4, G_2 .*

We will construct a Lie algebra of type G_2 later. For now we will just construct the root systems of type E_8 and F_4 .

12.1. E_6, E_7, E_8 . The root systems E_6, E_7 can be constructed from the root system (E, Φ) of type E_8 as follows. Let Δ' be the subset of the base Δ corresponding to the subdiagram of E_8 corresponding to E_6 or E_7 . Let E' be the span of Δ' in E . Let $\Phi' = \Phi \cap E'$. Then (E/Φ') will be a root system of type E_6 or E_7 . Therefore, it suffices to construct a root system of type E_8 .

Let $E = \mathbb{R}^8$. The root system is:

$$\Phi = \{\pm\epsilon_i \pm \epsilon_j\} \cup \left\{ \frac{1}{2} \sum_{i=1}^8 \pm\epsilon_i \text{ with even number of } - \text{ signs} \right\}$$

Note that the first part $\{\pm\epsilon_i \pm \epsilon_j\}$ is the root system of type D_8 . Also, all of these roots have the same length. A base for this root system is

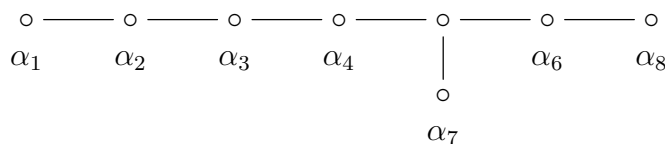
$$\epsilon_2 - \epsilon_3, \dots, \epsilon_7 - \epsilon_8, \epsilon_7 + \epsilon_8, \frac{1}{2} \left(\epsilon_1 + \epsilon_8 - \sum_{i=2}^7 \epsilon_i \right)$$

We show that this is a base:

Since the first 7 roots form a base for D_7 we know that any root of the form $\epsilon_i \pm \epsilon_j$ for $2 \leq i < j \leq n$ is a positive linear combination of the first 7 roots. By adding twice the last simple root α_8 , we can get $\epsilon_1 - \epsilon_2$:

$$\epsilon_1 - \epsilon_2 = 2\alpha_8 + (\epsilon_3 + \epsilon_4) + (\epsilon_5 + \epsilon_6) + (\epsilon_7 - \epsilon_8)$$

with a single α_8 plus roots of the form $\epsilon_i \pm \epsilon_j$ we get any sum $\frac{1}{2} \sum \pm\epsilon_i$ with the sign of ϵ_1 being positive (with an even number of - signs). Note that α_8 is perpendicular to every other simple root except for $\epsilon_7 - \epsilon_8 = \alpha_6$. So, the Dynkin diagram is:



12.2. F_4 . This root system is given by $E = \mathbb{R}^4$ and

$$\Phi = \{\pm\epsilon_i\} \cup \{\pm\epsilon_i \pm \epsilon_j\} \cup \left\{\frac{1}{2}(\pm\epsilon_1 \pm \epsilon_2 \pm \epsilon_3 \pm \epsilon_4)\right\}$$

where these roots have lengths $1, \sqrt{2}, 1$ resp. The base is given by:

$$\epsilon_1 - \epsilon_2, \epsilon_2 - \epsilon_3, \epsilon_3, \frac{1}{2}(-\epsilon_1 - \epsilon_2 - \epsilon_3 + \epsilon_4)$$

The last two roots are smaller so the Dynkin diagram is:

$$\begin{array}{cccc} \circ & \text{---} & \circ & \text{=} & \circ & \text{---} & \circ \\ \alpha_1 & & \alpha_2 & & \alpha_3 & & \alpha_4 \end{array}$$

12.3. **Automorphisms of Φ .** (preparing)